

This document serves to provide a detailed explanation of the usage for each application/transaction form.

FORM	USAGE
<p>1. ROCK CAPITAL New Individual Investors Form</p> <p>Unit Trust Application Form Individual Investors: Standard Investment Product</p>	To be completed by Natural Persons (including minors) who would like to open a new Standard Investment Product.
<p>2. ROCK CAPITAL New Entity Investor Form</p> <p>Unit Trust Application Form Entity Investors: Standard Investment Product</p>	To be completed by Entities , e.g. Companies; Trusts; Pension Funds; Non-Governmental Organisations; Non-Profit Organisations; Associations and Clubs who would like to open a new Standard Investment Product.
<p>3. ROCK CAPITAL Individual Investor (New or Existing) TFSA Form</p> <p>Unit Trust Application Form Individual Investors: Tax Free Savings Account</p>	To be completed by Natural Persons (including minors) who would like to open a new Tax-Free Savings Account .
<p>4. ROCK CAPITAL Additional Investment Form - Existing Investors Top Up Investment</p> <p>Unit Trust Additional Investment Form: Top Up Investment Existing Investors: Standard Investment Product & Tax- Free Savings Accounts</p>	To be completed by investors who already have an account and would like to add additional monies to the existing fund(s) in their investment.
<p>5. ROCK CAPITAL Investment Form - Existing Investors New Fund</p> <p>Unit Trust Investment Form: First Time Investment Into a Fund Existing Investors: Standard Investment Product</p>	To be completed by investors who already have an account and would like to add a new fund to their investment. This form is not to be used to open a Tax-Free Savings Account.
<p>6. ROCK CAPITAL Redemption Form</p> <p>Unit Trust Redemption Form All Investors: Standard Investment Product & Tax-Free Savings Accounts</p>	To be completed by investors to redeem/sell units from their Standard Investment Product or Tax-Free Savings Accounts.
<p>7. ROCK CAPITAL Switch Form</p> <p>Unit Trust Switch Form All Investors: Standard Investment Product & Tax-Free Savings Accounts</p>	To be completed by investors to switch units between funds in their existing Standard Investment Product or Tax-Free Savings Accounts.